

# Cancer clinical outcomes for minority ethnic groups

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#### Introduction

There has been some work to date, extensively reported in this Symposium, on the epidemiology, service needs and community perspective for minority ethnic groups in the United Kingdom faced with the problem of cancer. A good deal less is known about the clinical outcomes for cancer patients from minority ethnic origins. Until recently Cancer Registries have not recorded ethnic origin in their databases. Hospitals and academic units have also found it difficult to accumulate comprehensive data. However, outcome data is an important element in needs assessment, for service planning and for individual clinicians when faced with patients from minority ethnic groups.

Clinical outcomes are most usually assessed in terms of patients' survival but it is increasingly recognised that an appraisal of outcome must also include consideration of the quality of life during and after cancer treatment. Key clinical questions include the significance of ethnic origin as a factor predicting survival after diagnosis and treatment. This is a multivariate issue and we need to know whether ethnic origin may predict for survival independently or whether it may predict for the stage or distribution of the cancer at diagnosis. This leads on to an analysis of the access of different ethnic groups to medical diagnostic and treatment facilities. Furthermore, if we consider quality of life as a key outcome we need measurement methods suitable for use in all populations. Such instruments need to be evaluated in the relevant populations and, in particular, their validity needs to be assessed across cultures, age groups and ethnic groups.

Work on the significance of ethnic origin in cancer outcomes in the United Kingdom and Europe is not yet extensive. Results, of uncertain generalisable value, from the United States offer the more useful studies available at present.

In this paper the issues of the influence of ethnic origin on survival will be examined mainly drawing on data from the USA. Indications of the problems in the UK and Europe will be given. Methods available for studying quality of life in European patient populations will be briefly reviewed and some indications of future directions of study using these approaches will be given.

# The impact of ethnic origin on cancer survival in the United States

In the United States of America differences in survival of cancer patients from different ethnic origin have been demonstrated although the literature tends to focus on the differences between "Black Americans" and "White Americans" often without clarifying the ethnic groups so labelled. White patients have been found to have better survival than black patients during the 1970s and 1980s. 1-10 Most of these early reports showed that white cancer patients had higher survival than black cancer patients even when matched for the stage of the disease found at diagnosis. 1-3,5,7,11-13 The largest differences in survival between white patients and black patients were apparent for cancer of the uterine body, bladder cancer, rectal cancer in men and Hodgkin's disease in women. For all of these sites the difference in relative survival at five years favoured white patients by more than 20% and were still more than 10% after adjustments for age and stage. Smaller but significant differences in the order of 10% were found for colon cancer, breast and rectal

cancer among women, prostate, kidney and laryngeal cancer in both sexes and Hodgkin's disease in men.

These observations led the United States National Cancer Institute (NCI) in 1983 to begin a prospective, social and epidemiological study to try to find whether the differences in outcome were biologically or medically determined. The design and data accrual into this important study is described by Howard et al<sup>14</sup>. It concentrated on cancers of the uterine body, bladder, breast and colon. Although subsequent data from the Surveillance, Epidemiology and End Results Program<sup>6</sup> suggested some of these differences may be smaller than earlier predicted, the study persevered with these cancer sites. They sought to accrue 1,300 black patients and a similar number of white patients to give the study considerable statistical power. Only Registries capable of collecting data to a very high standard were included in the study.

The investigators sought to confirm the descriptive epidemiology of the ethnic difference and the study became known as the NCI Black/White Cancer Survival Study. They found that blacks tend to have more advanced disease at diagnosis than whites. 2,3,7,8,15-17 Hypotheses to explain the difference in survival and stage at diagnosis included different degrees of investigation of patients to identify their tumour stage, differing tumour grade and biology, differences in factors relating to the host such as nutritional status and immune responses, differences in treatments allocated to the two ethnic groups or differences in compliance with diagnostic tests and treatment. These questions were studied by collection of data from the patients' notes, by interviews and then by prospective follow up. Many of the results of this important study are not yet available but some comments can be made from this and related studies for some cancer sites.

## Breast cancer

Ethnic differences in survival from breast cancer were reported for the National Cancer Institute Black/White Cancer Survival Study in the United States by Eley et al. 18 They studied 1,130 women (612 black, 518 white) aged 20 to 79 years from Atlanta, New Orleans and San Francisco. Prognostic factors including stage, tumour size, treatment, other medical conditions and social and demographic factors were obtained by a direct personal interview and examination of hospital records. All pathology samples were reviewed. The risk of dying from breast cancer was 2.2 times higher for blacks than for whites which was highly statistically significant. However, the differences in outcome were partly explained by differences in stage at presentation in this study and when corrections for stage were made the excess risk of dying from breast cancer in the black patients was 1.7 times. After adjusting for stage, treatment, other illnesses, pathology, social and demographic features there was still a slightly increased risk of dying among the black patients (1.3 times, 95% confidence limits 1.0-1.8) but this did not achieve statistical significance and treatment did not appear to be an independent contributory factor. It appeared that the most important factor determining survival in the black populations was the more advanced stage at presentation and the authors concluded that this might be amenable to change through improved access to medical care and use of screening facilities.

In subsequent studies, the excess of advanced stage disease in black patients was confirmed and was associated with a history of patient delay, indication of reduced access to health care, lack of

mammograms and, at the margins of statistical significance, income, in explaining the higher stage disease seen in black patients. However, these factors explained only 50% of the variance in stage between the groups. <sup>19</sup> The impact of social ties was considered. In multivariate analysis, absence of close ties and perceived sources of emotional support was associated with an increased death rate from breast cancer in all ethnic groups.<sup>20</sup>

The NCI Study also focused on differences in treatment plans for a sub-group of patients with defined stage of breast cancer, stage II node positive disease.<sup>21</sup> In 305 patients they found similar patient characteristics between the black and white patients although breast conserving surgery was undertaken less frequently among black women (p=0.004). In a multivariate analysis, however, ethnic origin was not a significant factor in determining the selection of primary treatment and this appeared to depend more upon education and metropolitan area of residence. Adjuvant chemotherapy and hormonal therapy was employed in an appropriate degree in all patients studied. Treatment plans do not therefore seem to be determined by ethnic origin but are influenced by education and area of residence.<sup>21</sup>

Length of time from symptom recognition to initial medical consultation was analysed in detail in the NCI Study.<sup>22</sup> This was longer for black women than for white women and this difference approached statistical significance (p = 0.06) but the difference was small at a median of only 16 days. This difference seems unlikely to explain all of the differences in stage at presentation and survival rates in breast cancer.

#### Colorectal cancer

Black patients with colonic cancer appear to have a poorer survival than white patients in the USA.<sup>23</sup> During 1981-1988, the five year relative survival for white males and females were 59% and 58% respectively whereas for black males and females they were 46% and 49% respectively. This difference is not due to any differences in the site of the cancer within the bowel in black patients.<sup>23</sup> The study identified 1,045 eligible patients and interviewed 71% of these to establish baseline clinical, pathological and demographic/social data as well as diet and occupational data. The results of the survival analysis are recently published with follow up quite mature.<sup>24</sup> Patients were diagnosed in 1985 and 1986 and followed until the end of 1990 (454 black and 521 white). After adjusting for age, sex and geographical area the relative hazard of death was 1.5 in blacks compared to whites with the 95% confidence limits 1.2-1.9. A substantial proportion of the excess risk was due to later stage at presentation among the blacks and, when corrected for this, the hazard ratio was 1.2 (95% limits 1.0-1.5). Further analysis of socioeconomic variables and treatment did not suggest any important influences on outcome, although the data on treatment received lacked detail.

#### Prostatic cancer

Although not included in the NCI Study, prostatic cancer presents a valuable illustration of studies of the impact of ethnic origin on incidence and outcome. As noted elsewhere in this volume it shows one of the widest ranges of age adjusted incidence of any cancer among different groups and the highest frequency is found in black Americans.<sup>25</sup> The incidence varies from over 90 per 100,000 per year among black Americans in Atlanta, Detroit and Alameda in the United States to less than 10 per 100,000 per year in Singapore, Bombay, Poland, Japan, Hong Kong, Senegal and Shanghai. The rates for white American populations vary between 40 and 60 per 100,000 per year. Age adjusted mortality is much lower than incidence reflecting the large proportion of indolent non-invasive prostatic cancers. <sup>25</sup> The wide variation in prostatic cancer incidence in different populations has not been explained with certainty. High risk populations have been shown to have relatively high serum testosterone levels<sup>26</sup> and case control studies suggest associations of high risk with a number of other factors: high fat intake; a past history of venereal disease; absence of circumcision<sup>27</sup> and family history.<sup>28</sup> The relative risk

of prostate cancer among those with a first degree relative with the disease is 3.2 (95% Cl 2-5) and the figure is similar in blacks and whites in the USA suggesting that the differences in incidence may be due to environmental factors.

Survival for patients with adenocarcinoma of the prostate in all populations depends heavily upon the stage of the disease and the grade of the tumour. Studies from the United States have indicated important differences in survival between white and black Americans. Austin  $et\ al^{29}$  and others have demonstrated that black patients have significantly higher tumour grade and stages than white patients. For instance Table I shows the distribution of tumour stage in the different ethnic groups divided according to age, greater or less than 60 years. Table II shows the distribution of tumour grade with the same divisions and here the significant difference is apparent only in the younger patients. These differences were associated with significant differences in survival. Forty eight per cent of the white patients were alive at five years whereas only 35% of the black patients were alive at five years. This difference was particularly apparent in the younger patients.

Table I Age and stage distribution for white and black prostate

	Clinical Stage			
	В	C	D	
Total				
Black (%)	18	27	55	p < 0.01
White (%)	28	49	23	_
Young				
Black (%)	21	21	57	p < 0.05
White (%)	22	67	11	•
Old				
Black (%)	17	28	54	p < 0.01
White (%)	31	44	25	

Source: Ref 29

Age and grade distribution for white and black Table II prostate cancer patients at age greater or less than 60 years

	Gleasor Low		
Total	DOW.	High	
Black (%)	47	53	NS
White (%)	63	37	
Young			
Black (%)	36	64	p<0.04
White (%)	89	11	•
Old			
Black (%)	58	42	NS
White (%)	50	50	
Source: Ref 29.			

There can be many explanations for differences in grade and stage at diagnosis. It may represent an intrinsic difference in the biological aggressiveness of the cancers in the different populations under study. This explanation has been advanced by several authors in reviewing the evidence. 30-32 However, it is possible that attitudes and access to health care can influence the degree of progression apparent at the time of diagnosis of a cancer. In particular, delay in diagnosis may lead to more advanced disease. Austin et  $al^{29}$  have found some evidence of delayed diagnosis with a much higher proportion of white patients seeking medical attention within three months of the onset of symptoms (Table III).

These studies in prostatic cancer are valuable contributions pointing out the need for further work. However, they illustrate the difficulties in investigating the relationship between ethnicity and outcome. First, the definition of "black" is not given in the paper although it is likely that the authors were principally concerned with Americans of African origin. The need for careful definition of the characteristics of the minority ethnic groups under study has been emphasised elsewhere in this volume. Second, the numbers studied are small with only 117 patients in the key study of Austin et al.<sup>29</sup> This is inadequate to allow any precision in the statistical estimates and most importantly does not allow multivariate analysis to be carried out with confidence.



Age and delay distribution for white and black prostate cancer patients at age greater or less than 60 years

	Patient dela medical		
	$\leq 3$ months $> 3$ months		
Total			
Black (%)	58	42	p<0.007
White (%)	87	13	
Young (%)	69	31	NS
Old (%)	72	28	
Young			
Black (%)	28	72	p<0.005
White (%)	100	0	•
Old			
Black (%)	64	36	NS
White (%)	82	18	

Third, outcome is only considered in terms of survival without consideration of quality of life. Even for this common and increasing cancer where social, cultural, environmental and ethnic factors have had a high profile because of the wide range of incidence, we still cannot define the significance of each of these different elements in determining outcome in an advanced Western society. Further studies to characterise the relationship between outcome and the primary characteristics of the patient, the presenting characteristics of the tumour and those factors which influence the timing and quality of health care are essential.

Choice of treatment for prostate cancer may be influenced by ethnic origin. In a study of the treatment of prostatic cancer in black and white Americans in Connecticut, Polednak and Flannery  $^{33}$  studied the population based cancer registry and identified the first course of treatment used for each stage of prostatic cancer for blacks and whites. A higher proportion of black patients were diagnosed with metastatic disease (35.4% versus 22.1 %) with a higher age specific incidence rate for metastatic cancer among the black patients. There was no identified difference in histological grade. There was a significantly lower use of prostatectomy in black patients than in white patients younger than 70 years of age. There was no difference in the use of hormonal therapy or endocrine surgery. The significance of the difference in prostatectomy rate in determining survival was not clear in this study.

#### The European perspective - variations in outcome between countries

In Figures 1 and 2 the incidence and mortality for cancer excluding epithelial skin cancers, in men and women in the European Community are given.<sup>34,35</sup> Between nations the risk of

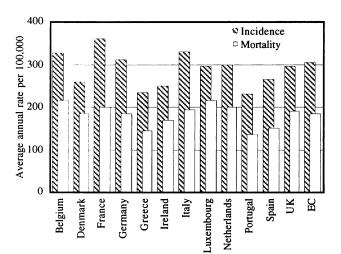


Figure 1 Estimated incidence and mortality for all cancer in men except

dving from cancer in the European Community is highest in Luxembourg, Belgium, France and the Netherlands and lowest in Portugal, Greece, Spain and Ireland. The difference is quite large and the incidence of cancer overall is 55% higher among the French than among the Portuguese, for instance. Men are more likely to die of cancer than women. The patterns of cancer also vary between countries.

There is no precision to the patterns between countries but in general lung cancer is especially common in the northern part of Europe together with rectal cancer whereas in the southern part of Europe cancers of the upper part of the intestines, the throat and the liver are more frequent. Some of these differences are well understood and reflect known causative factors such as tobacco and alcohol. Skin type reflecting ethnic origins is an important factor in determining the risk of malignant melanoma. Although exposure to sun and therefore potential for sunburn is much greater in the southern part of Europe, malignant melanoma there is less common. The people who appear to suffer from malignant melanoma are those of light complexion of northern Europe with a history of sunburn or chronic sun exposure.

Figures 1 and 2 give crude indications of variation in outcome for cancer patients across Europe by comparing incidence with mortality. However, more detailed studies are necessary to characterise this more fully and allow any assessment of the impact of the varying ethnic make-up of different European countries. In the recent Eurocare study  $^{36}$  data were taken from 30population based cancer registries across Europe describing outcomes for 800,000 cancer patients diagnosed between 1978 and 1985. Relative survival corrected for age was reported for 25 cancer sites. There was considerable variation between countries and it was notable that the UK had lower survival than most other European countries for 18 of the 25 sites analysed. Scotland fared rather worse than England. Better than average results were apparent in the Swiss, Finnish and Danish registry outcomes for survival. The explanations for the variations remain uncertain. Detailed information about the mix of cases in the different registries is yet to be established and many factors influence outcomes. European countries have very varying policies for screening for cancer, in diagnostic services, and in the ascertainment of death in their registries. In the context of our current discussion, there are different ethnic mixes between different European countries. Ongoing studies will clarify the importance of these different factors.

The European data therefore point to considerable variation in incidence, mortality and survival between different European countries. They present many questions about the significance of differences in ethnic mix in determining outcome but they do not yet answer the questions posed.

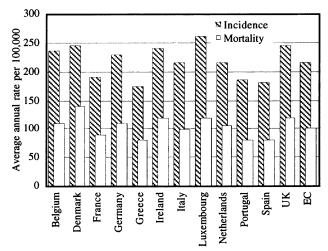


Figure 2 Estimated incidence and mortality for all cancer in women except

Figure 3 HAD Scale

If we are to adequately assess outcomes for minority ethnic groups treated for cancer in the UK, careful consideration will have to be given to methods of measuring quality of life. There has been significant general advance in this area.

In 1975 the evaluation of quality of life (QL) in cancer medicine was a rarity. Since that time several groups have worked to develop appropriate measurement methods for this important outcome variable. Different groups have defined the concept in different ways but the essential themes of health related quality of life have always included a psychological dimension, a physical and functional dimension and often additional dimensions including a wide range of specific items relating to the disease in question, particularly symptoms and broader items addressing social and spiritual issues. There are a wide range of prominent clinical trials groups in North America<sup>37-40</sup> and in Europe<sup>41-44</sup> national and international cancer institutes and societies, <sup>45-47</sup> regulatory agencies <sup>48</sup> and the pharmaceutical industry <sup>49</sup> involved in this work. In randomised trials, quality of life has now been

Initials:	Patient No:		Date:Visit No:	-
			y an important part in most illr feelings he will be able to he	
Read each it	em and place a firn	n tic	lp your doctor to know how yo k in the box opposite the reply feeling in the past week	
			ies; your immediate reaction to than a long thought-out respon	
	Tick only on	e bo	ox in each section	
I feel tense or Most of the tir A lot of the tir Time to time, Not at all	ne ne		I feel as if I am slowed down: Nearly all the time Very often Sometimes Not at all	
I still enjoy thenjoy: Definitely as r Not quite so n Only a little Hardly at all			I get a sort of frightened feeling like'butterflies' in the stomach: Not at all Occasionally Quite often Very often	
if something a Very definitel Yes, but not to	frightened feeling as awful is about to hap y and quite badly to badly doesn't worry me		I have lost interest in my appearance: Definitely I don't take so much care as I shoul I may not take quite as much care I take just as much care as ever	d H
I can laugh an of things: As much as I an Not quite so not pefinitely not Not at all	nuch now		I feel restless as if I have to be on the move: Very much indeed Quite a lot Not very much Not at all	
my mind: A great deal o A lot of the tir	ne time but not too often		I look forward with enjoyment to things: As much as ever I did Rather less than I used to Definitely less than I used to Hardly at all	
I feel cheerfu Not at all Not often Somet imes Most of the tir			I get sudden feelings of panic: Very often indeed Quite often Not very often Not at all	
I can sit at ea Definitely Usually Not often Not at all	se and feel relaxed:		I can enjoy a good book or radio o TV programme: Often Sometimes Not often Very seldom	

evaluated in many cancers including breast cancer, 50-54 lung cancer 55,56 and soft tissue sarcoma. 57 Every clinical trial organisation now recognises the importance of the evaluation of quality of life but it is still not routinely available in every trial. 58,59 The difficulties of evaluating quality of life have in the past been very substantial. 60,61 There has been a reluctance to accept this aspect of outcome evaluation in many clinical communities. The absence of satisfactory measurement methods was a barrier for many years.

The need for instruments to measure quality of life in cancer patients that were psychometrically robust (reliable and valid), concise and widely accepted led to research on their development by a number of groups. In Canada, Schipper and colleagues  $^{62}$  and Selby and colleagues  $^{63}$  developed cancer specific questionnaires

### Figure 4 EORTC QLQ-C30

Please fill in your initials:

We are interested in some things about you and your health. Please answer all of the questions yourself circling the number that best applies to you. There are no "right" or 'wrong" answers. The information that you provide will remain strictly confidential.

Your birthdate (Day, Month, Year):			-			
Today's date (Day, Month, Year):	· · · · · · · · · · · · · · · · · · ·		- No	Yes		
1. Do you have any trouble doing stren carrying a heavy shopping bag or a suit		2				
2. Do you have any trouble taking a lor	ig walk?		1	2		
	3. Do you have any trouble taking a short walk outside of 1 2					
the house? 4. Do you have to stay in a bed or a chair for most of the day?						
5. Do you need help with eating, dressing, washing yourself or using the toilet? 1 2						
6. Are you limited in any way in doing	either yo	ur wor	k or 1	2		
doing household jobs? 7. Are you completely unable to work a household jobs?	at a job o	r to do	i	2		
During the past week:	Not at all	A little	Quite a bit	Very much		
8. Were you short of breath?	1	2	3	4		
9. Have you had pain?	!	2	3	4 4		
10. Did you need to rest? 11. Have you had trouble sleeping?	1 1	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	3	4		
12. Have you felt weak?	i	2	3	4		
13. Have you lacked appetite?	i	2	3	4		
14. Have you felt nauseated?	1	2	3	4		
15. H ave you vomited?	1	2	3 3 3	4		
16. Have you been constipated?	!	2	3	4		
17. Have you had diarrhea?	1	2	3	4		
18. Were you tired? 19. Did pain interfere with your daily	1	2	3	4 4		
activities?	1	2	3	7		
20. Have you had difficulty in	1	2	3	4		
concentrating on things, reading a						
newspaper or watching television?		_	•			
21. Did you feel tense?	1	2	3 3 3	4 4		
22. Did you worry? 23. Did you feel irritable?	1 1	2 2	3	4		
24. Did you feel depressed?	i	2	3	4		
25. Have you had difficulty	î	$\bar{2}$	3	4		
remembering things?						
26. Has your physical condition or	1	2	3	4		
medical treatment interfered with your						
family life?	1	2	3	4		
27. Has your physical condition or medical treatment interfered with your	1	2	3	4		
social activities?						
28. Has your physical condition or	1	2	3	4		
medical treatment caused you financial difficulties?						
For the following questions please cit	cle the n	umber	betweer	1 and 7		
that best applies to you: 29. How would you rate your overall physical condition during the past						
week?	6	7				
Very poor	O	Excell	ent			
30. How would you rate your overall q	uality of	<u>life</u> dur	ing the p	ast week?		
1 2 3 4 5	6	<sub>7</sub>				
Very poor		Excell	ent			

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with many of the features necessary for measuring quality of life in cancer patients. In the United Kingdom, Priestman and Baum<sup>64</sup> introduced the use of linear analogue scales for this purpose. The European Organisation for Research and Treatment of Cancer Study Group on Quality of Life initiated a research programme in 1986 to develop an integrated measurement system for evaluating the quality of life of patients participating in international clinical trials. Drawing on a wide experience within the group and on the previous attempts they developed a modular approach to quality of life assessment.<sup>65</sup> Their core questionnaire, now known as the QLQ-C30, incorporates a range of physical, emotional and social health issues relevant to the broad range of cancer patients irrespective of specific diagnosis and this is supplemented by disease specific and/or treatment specific questionnaire modules. 66 This latter approach by the EORTC Study Group has been evaluated especially widely and thoroughly<sup>67</sup> and in several languages.

In 1989, a Working Party of the Medical Research Council (UK) evaluated all of the questionnaires for measuring quality of life developed during the 1970s and 1980s. Maguire and Selby<sup>68</sup> in reporting this evaluation recommended that at that time two questionnaires probably represented the "best buy". These were the Rotterdam Symptom Checklist developed by de Haes et al<sup>69</sup> in Holland and the Hospital Anxiety and Depression Scale developed by Snaith in Leeds. 70 These questionnaires had suitable psychometric properties for assessing a broad range of items relevant to quality of life (the Rotterdam Symptom Checklist) or specifically for quantifying anxiety and depression (the Hospital Anxiety and Depression Scale). Since the evaluation of Maguire and Selby, <sup>68</sup> the EORTC QLQ-C30 has been introduced, extensively evaluated and achieved wide acceptance. Figures 3 and 4 show the Hospital Anxiety and Depression Scale

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and the EORTC QLQ-C30 core questionnaire.

These methods need to be rigorously evaluated and used in studies of differing outcomes for cancer patients in the United Kingdom. They represent special challenges within minority ethnic groups where language may be a barrier for some and where cultural, social and educational differences may need to be very carefully considered to allow useful information to be gained in order to improve services without burdening patients.

#### Conclusion

In this paper I have sought to indicate the importance of the evaluation of clinical outcomes in minority ethnic groups and to give examples of studies from the United States, perhaps drawing attention to the lack of studies in the UK. We can conclude that minority ethnic groups have significantly poorer cure rates for some cancers and that diagnosis at a more advanced stage is one factor causing this in some cancers. Differing responses to, and access to, health care systems may be factors in reducing the chance of cure in some circumstances. Illustration is given of methods that can be used, after further evaluation, to study quality of life as an appropriate outcome. This paper does little more than indicate the need for further study but the methods to be employed for analysis of prognostic factors and quality of life are now well established and familiar in clinical cancer research. Benefits to patients from minority ethnic groups in terms of survival and quality of life may be anticipated from more precise evaluation of outcomes in these groups and the factors which influence these outcomes. It is timely to study this aspect of cancer care in the UK.

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